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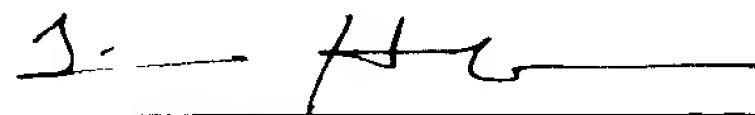
ATTORNEY'S DOCKET NO: Z0090/7027 MLO/SRF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Glen Jorgensen  
Serial No: 09/728,327  
Filed: December 1, 2000  
For: FLUID MANAGEMENT SYSTEM  
Examiner: Not Yet Assigned  
Art Unit: 3753

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 5th day of March, 2001.

  
Tina Hanifin

Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

**RECEIVED**

Dear Sir:

MAR 12 2001

Transmitted herewith for filing are the following documents:

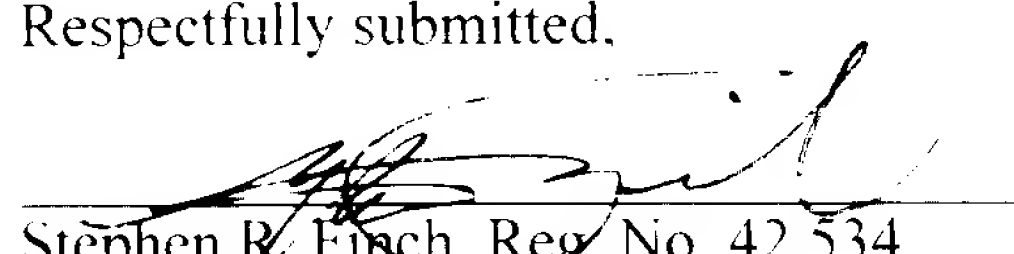
OFFICE OF PETITIONS

- [X] Return Receipt Postcard
- [X] Copy of The Notice of Omitted Items
- [X] Petition enclosing a copy of the Utility Patent Application transmittal letter as filed on 12/01/00, a copy of the accompanying postcard, a copy of the express mail label, and a copy of the return postcard as stamped received by the U.S. Patent and Trademark Office on 12/01/00.
- [X] Copy of Application as filed including Figure 8a

If the enclosed papers are considered incomplete, the mailroom and/or the Application Branch is respectfully requested to contact the undersigned at the numbers below.

The Petition fee of \$130.00 as set forth in 37 C.F.R. §1.17(i) is enclosed. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

  
Stephen B. Finch, Reg. No. 42,534  
M. Lawrence Oliverio, Reg. No. 30,915  
WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, Massachusetts 02210  
Phone No. 617-720-3500

X: 03/25/01



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20531  
www.uspto.gov

| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/728,327         | 12/01/2000          | Glen Jorgensen        | Z0090/7027             |

CONFIRMATION NO. 4739

## FORMALITIES LETTER



M. Lawrence Oliverio  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210

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Date Mailed: 01/25/2001

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) **8a** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if

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necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Serial No. \_\_\_\_\_ File No. 20090/7027 By: MLO  
Title: FLuid MANAGEMENT System  
Application of: Jorgensen WGS Date: NDD

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Mailing by Express Mail (37 CFR 1.10)                           | <input type="checkbox"/> Provisional Application Cover Sheet                          |
| <input checked="" type="checkbox"/> Express Mail Label No. <u>ELO18096361US</u>                     | <input type="checkbox"/> Multiple Dependent Claim Fee Sheet                           |
| <input checked="" type="checkbox"/> Patent Application  | <input type="checkbox"/> Inf. Discl. Statement, PTO Form 1449                         |
| <input checked="" type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional            | <input type="checkbox"/> References Cited   |
| Incl. <u>20</u> pages, ( <u>17</u> pgs) Specification   | <input type="checkbox"/> Priority Document(s) # _____                                 |
| ( <u>1</u> pgs) Abstract, ( <u>2</u> pgs) Claims ( <u>8</u> # claims)                               | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts              |
| <input checked="" type="checkbox"/> Design Patent Application                                       | <input checked="" type="checkbox"/> Amendment/ <del>Response</del> <u>Preliminary</u> |
| <input checked="" type="checkbox"/> Declaration(s) ( <u>Prior app</u> )                             | <input type="checkbox"/> Petition for Ext. of Time (x2)                               |
| <input checked="" type="checkbox"/> Drawings <u>15</u> Sheet(s) (FIGS. <u>1-15</u> )                | <input type="checkbox"/> Issue Fee Transmittal  |
| <input checked="" type="checkbox"/> Formal <input type="checkbox"/> Informal                        | <input type="checkbox"/> Letter to Official Draftsperson                              |
| <input type="checkbox"/> Utility Patent Application Transmittal                                     | <input type="checkbox"/> Notice of Appeal   |
| <input type="checkbox"/> Fee calculation sheet (x2)   | <input type="checkbox"/> Brief (x3)   |
| <input type="checkbox"/> CPA Transmittal  | <input checked="" type="checkbox"/> Check for \$ <u>489.00</u> Check # _____          |
| <input checked="" type="checkbox"/> Verified Statement claiming small entity status ( <u>copy</u> ) | <input type="checkbox"/> Transmittal Letter (x2)                                      |
| <input type="checkbox"/> Assignment and Cover Sheet   | <input type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a)                         |

☒ Other Deletion of Inventors

DATE MAILED

12/1/00

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|   |  |                 |
|---|--|-----------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br>(Only for new nonprovisional applications under 37<br>CFR 1.53(b)) | Attorney Docket No.                            | Z0090/7027      |
|   | First Named Inventor or Application Identifier |                 |
|   | JORGENSEN, Glen                                |                 |
|   | Express Mail Label No.                         | EL018096361US   |
|   | Date of Deposit                                | December , 2000 |

|   |  |
|---|--|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents  | <b>ADDRESS</b><br>TO: Box Patent Application<br>Commissioner for Patents<br>Washington, DC 20231   |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form<br>(Submit an original, and a duplicate for fee processing)<br>2. <input checked="" type="checkbox"/> Specification [Total pages 20]<br>17 – pages description<br>2 – pages abstract<br>1 – pages claims 8 - Total claims<br>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets 16]<br><input type="checkbox"/> Informal <input checked="" type="checkbox"/> Formal [Total drawings 15]<br>4. <input checked="" type="checkbox"/> Oath or Declaration [Total pages ]<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 17 completed)<br>[Note Box 5 below]<br>i. <input checked="" type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting<br>inventor(s) named in the prior application,<br>see 37 CFR 1.63(d)(2) and 1.33(b).<br>5. <input checked="" type="checkbox"/> Incorporation by Reference<br>(usable if Box 4b is checked)<br>The entire disclosure of the prior application,<br>from which a copy of the oath or declaration is<br>supplied under Box 4b, is considered as being<br>part of the disclosure of the accompanying<br>application and is hereby incorporated by<br>reference therein. | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix)<br>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence<br>Submission (if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies<br><b>ACCOMPANYING APPLICATION PARTS</b><br>8. <input type="checkbox"/> Assignment Papers/cover sheet &<br>documents(s)<br>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br>(when there is an assignee)<br><input type="checkbox"/> Power of Attorney<br>10. <input type="checkbox"/> English Translation of Document (if applicable)<br>11. <input type="checkbox"/> Information Disclosure Statement PTO-1449<br><input type="checkbox"/> Copies of IDS Citations<br>12. <input checked="" type="checkbox"/> Preliminary Amendment<br>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)<br>14. <input checked="" type="checkbox"/> Small Entity Statement(s)<br><input checked="" type="checkbox"/> Statement filed in prior application, Status<br>still proper and desired<br>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed) |
| 16. Other:  |  |
| 17. If a <b>CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information<br><input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. 09/082,201<br><input checked="" type="checkbox"/> Cancel in this application original claims 1-8 of the prior application before calculating the filing fee<br><input type="checkbox"/> Amend the specification by inserting before the first line the sentence: <b>See Preliminary Amendment<br/>         filed herewith</b><br>This application is a <input checked="" type="checkbox"/> continuation <input type="checkbox"/> divisional of application serial no. 09/082,201, filed May 20, 1998,<br>entitled FLUID MANAGEMENT SYSTEMS, and now PENDING..  |  |

| 18. CORRESPONDENCE ADDRESS   |                                       |           |                |     |                |
|------------------------------|---------------------------------------|-----------|----------------|-----|----------------|
| Correspondence address below |                                       |           |                |     |                |
| ATTORNEY'S NAME              | M. Lawrence Oliverio, Reg. No. 30,915 |           |                |     |                |
| NAME                         | Wolf, Greenfield & Sacks, P.C.        |           |                |     |                |
| ADDRESS                      | 600 Atlantic Avenue                   |           |                |     |                |
| CITY                         | Boston                                | STATE     | MA             | ZIP | 02210          |
| COUNTRY                      | USA                                   | TELEPHONE | (617) 720-3500 | FAX | (617) 720-2441 |

| 19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED |                                       |
|---|---------------------------------------|
| NAME  | M. Lawrence Oliverio, Reg. No. 30,915 |
| SIGNATURE   | <i>M. Lawrence Oliverio</i>           |
| DATE  | 12/1/2008                             |

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Inventor or Identifier: JORGENSEN, Glen

Serial No: Not yet assigned

Filed: Herewith

For: FLUID MANAGEMENT SYSTEMS

CHECK BOX, if applicable:

☐ DUPLICATE

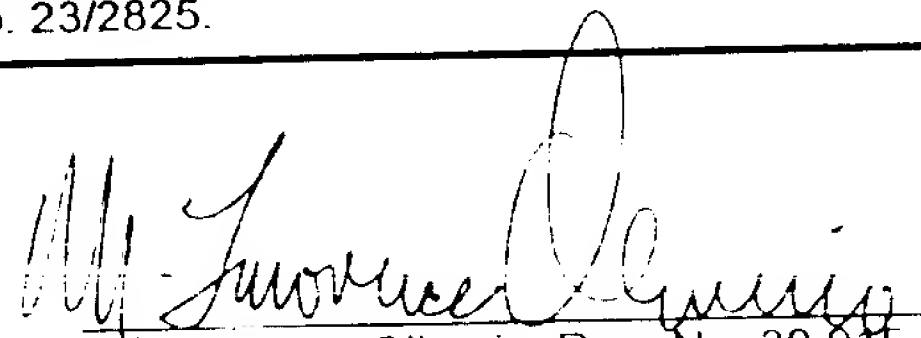
## Fee Calculation Sheet

| CLAIMS | FOR  | NUMBER FILED | NUMBER EXTRA | RATE                             | FEE        |
|--------|--|--------------|--------------|----------------------------------|------------|
|        | TOTAL CLAIMS<br>(37 CFR 1.16(c))   | 26-20=       | 6 x          | \$9                              | = \$ 54.00 |
|        | INDEPENDENT<br>CLAIMS (37 CFR<br>1.16(b))                                  | 5-3=         | 2 x          | \$40                             | = \$ 80.00 |
|        | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +               |              |              | \$260                            | = \$       |
|        |  |              |              | BASIC FEE<br>(37 CFR<br>1.16(a)) | \$ 710.00  |
|        | Total of above Calculations =  |              |              |                                  | \$ 844.00  |
|        | Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28). |              |              |                                  | \$ 355.00  |
|        | Assignment Recordation Fee (if any)  |              |              |                                  | \$         |
|        | Other Fees (if any).   |              |              |                                  | \$         |
|        | TOTAL =  |              |              |                                  | \$ 489.00  |

1. A check in the amount of \$ 489.00 is enclosed.

## General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.



M. Lawrence Oliverio, Reg. No. 30,915  
Wolt, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500  
Attorneys of Record

Docket No. Z0090/7027  
Date: December , 2000

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